0-neutrino Double-beta Decay and WIMPs: Will the Search Converge?

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Two scientific curiosities both born in the 1930's

- Zwicky: "The stars near the edges of galaxies are moving much faster than they should be! There must be a lot more matter than we can see."
- **Goeppert-Mayer:** "It is possible for some nuclei to decay by simultaneous emission of two electrons and two neutrinos, a double beta decay."

History

• Dark matter didn't go away:

- Only in 1970's did this very startling observation begin to be studied seriously. Still unknown...
- Simulations of the development of large scale structure require the presence of dark matter.
- And, dark energy appeared, too!
 - Type IA supernovae, acting as "standard candles" revealed the expansion history of the universe
- Cosmology became a quantitative science

Concordance

- From WMAP (cosmic μ-wave) + Sn +…
 - Age of Universe: 13.73 ± 0.12 Gyr
 - Total energy density: $\Omega = 1.0052 \pm 0.0064$
 - Dark energy density: $\Omega_{\Lambda} = 0.721 \pm 0.015$
 - Dark matter density:
 - Baryons $\Omega_{\rm b} = 0.0462 \pm 0.0015$
 - Neutrinos: $\sum m_v < 0.61 \text{ eV}$ (three families)
 - But there are ~10⁹ relic neutrinos for each baryon, so the total v mass could be $\approx \sum$ all visible matter

 $\Omega_{\text{DM}} = 0.233 \pm 0.013$

What is the Dark Matter?

No strong or electromagnetic interactions

- Gravity for sure, and probably weak, too.
- Many theoretical possibilities have been advanced
- Popular: the "Weakly Interacting Massive Particle"
- Might be the "Neutralino" of supersymmetry (TeV)

• Can WIMPs be detected?

- <u>Direct</u>: WIMP-nuclear recoils transfer 5 50 keV
- <u>Indirect</u>: annihilation in sun, earth, galactic center \rightarrow multi-GeV γ 's {GLAST}, or v's {IceCube}

Where is the Dark Matter?



6

Huge world-wide DM effort

- Direct, directional
- Ionization + heat
- Ionization + light
- Light + heat
- Light only
- Heat only
 {Axions!}

- low-density TPC
- Cryogenic Ge, Si, ...
- Liquid xenon, argon
- Cryogenic scintillator
- LXe, Nal, ...
- Bubble Chambers!
- μ-wave photons...



CDMS WIMP Search Data Midnight PST, 4th of Feb, 2008



Open The Box: Surface Event Cut Midnight PST, 4th of Feb, 2008



Expected Background: 0.6 ± 0.5 surface events and < 0.2 neutrons

Spin-Independent Exclusion Limit



Results announced at 2008 UCLA Symposium on Dark Matter, Energy

The Two-Phase XeTPC Concept

- Prompt (S1) light signal after interaction in active volume; charge is drifted, extracted into the gas phase and detected as proportional light (S2)
- Challenge: ultra-pure liquid + high drift field; efficient extraction + detection of e-



WIMP Quest

- Now: limits placed at ~5 x 10⁻⁴³ cm²
 - Detectors can cope with backgrounds at the level of 1 10 kg mass. <u>Heroic</u> efforts have been successful, so far.
- Future: 100 1000 10,000 kg but how?
 - Backgrounds must be reduced x10, x100, x1000, ...
 - Dark matter might not be WIMPs, ...
 - A worthy quest, for the very brave, and the very patient.
- **Next**, another high-risk, high value topic...

Two Types of Double Beta Decay



A known standard model process and an important calibration tool

$$T_{\frac{1}{2}} \approx 10^{19} yrs.$$

If this process is observed: Neutrino mass ≠ 0 Neutrino = Anti-neutrino! Lepton number is not conserved!



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Neutrinos do have mass >0

- Abundant evidence now exists supporting this
 - Neutrinos made in the sun change flavor on the way here
 - chlorine, gallium, SNO experiments
 - Neutrinos made in the atmosphere change flavor, too,
 - SuperKamioka
 - Neutrinos made in reactors change flavor too...
 - KamLAND, CHOOZ,
- Flavor changes imply oscillation, require that $m_v > 0$
 - Oscillation experiments measure only $\Delta m^2 = m_1^2 m_2^2$
 - Two mass differences $\delta m_{sol}^2 \& \delta m_{atm}^2$ are measured rather well
 - The mass scale, and hierarchy, remains unknown!





Double beta decay



The ideal result is a spectrum of all $\beta\beta$ events, with negligible or very small backgrounds.



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The H-M Result has become a litmus test for future efforts

ββ has been a search for a <u>very</u> rare peak on a continuum of background.

> ~70 kg-years of data 13 years

The "feature" at 2039 keV is arguably present, but is not the result of a "blind" analysis.



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Great Number of Proposed Experiments

QuickTime[™] and a TIFF (Uncompressed) decompressor are needed to see this picture.

ββ Current Status

- Target (from oscillations): $\langle m_{\beta\beta} \rangle \sim 0.050 \text{ eV} = 50 \text{ meV}$
 - But, masses could be higher...
- Goal: 100's to 1000's kg active mass likely to be necessary!
 - Rejection of internal/external backgrounds in ~10²⁷ atoms!
 - Excellent energy resolution: $\delta E/E \ll 10 \times 10^{-3} FWHM$
- Present status (partial):
 - Heidelberg-Moscow (⁷⁶Ge) result: $\langle m_v \rangle = 0.440^{+0.014} \cdot 0.020$ eV *disputed*!
 - Cuoricino (130Te): cryogenic bolometers, taking data, but background limited...
 - Cuore x20 Cuoricino, with improved radiopurity
 - GERDA (⁷⁶Ge): under construction at LNGS
 - Majorana (⁷⁶Ge): (proposal & R&D stage)
 - NEMO → Super-NEMO: various foils: (proposal + R&D stage)
 - NEXT ¹³⁶Xe High-pressure xenon gas TPC (proposal + R&D stage)
 - <u>EXO</u> (¹³⁶Xe) liquid xenon TPC, now installing at WIPP

The EXO-200 detector: a dual TPC





Liquid xenon data show an "anti-correlation" between ionization and scintillation



Energy resolution (predicted): 3.3 % FWHM @ $Q(\beta\beta)$

Xenon: Strong dependence of energy partitioning on density!

A. Bolotnikov, B. Ramsey / Nucl. Instr. and Meth. in Phys. Res. A 396 (1997) 360-370





For $\rho > 0.55$ g/cm³, energy resolution deteriorates rapidly

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What's happening at densities $\rho > 0.55 \text{ g/cm}^3$?

Two phases of xenon can co-exist (fog,...)

- High atomic density + ionization density
 - \Rightarrow sites of complete recombination

Energy is returned as scintillation & heat

- Landau: ⇒ large dE/dx fluctuations + δ-rays
 ⇒ non-Gaussian partition of energy
- Anomalously large partition fluctuations {Scintillation \Leftrightarrow lonization} $(+ \mathcal{HEAT}...)$

Big Impact for WIMP Search in LXe

Scintillation (S₁) & Ionization (S₂) are signals that are used to <u>reject</u> <u>electron</u> recoils But, in LXe:

S₂/S₁ fluctuations are anomalously <u>large</u> Bad news for discrimination power in LXe! What do events in LXe look like?

Gamma events (e-R)

Latest Xenon-10 results look better, but nuclear recoil acceptance still needs restriction

Neutron events (N-R)

QuickTime™ a TIFF (LZW) decom are needed to see this

Impact for WIMP Search

Scintillation (S_1) & Ionization (S_2) are signals that can be used to <u>reject electron</u> recoils But, in LXe:

 S_2/S_1 fluctuations are anomalously <u>large</u> Bad news for discrimination power in LXe!

 $\rho < 0.55 \text{ g/cm}^3$: <u>normal</u> S_2/S_1 fluctuations Maybe,... Xenon gas is better (...much better) ??

High-pressure xenon gas TPC

- Fiducial volume surface:
 - Single, continuous, fully active, variable,...
 - 100.0% rejection of charged particles (surfaces)
 - but: TPC needs a t_0 to place event in z
- Tracking:
 - Available in gas phase only
 - Topological rejection of single electron events
 - TPC proven to handle complex events...

From <10 to >10,000 particles





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TPC: ββ Signal & Backgrounds



TPC: Basic Advantages...

- Fiducial volume surface: excellent
- Tracking in gas: excellent
 But, a TPC is not known for:
 Excellent energy resolution So, What is possible??

Two questions

What is the best energy resolution that can be obtained with a

high-pressure xenon gas TPC

- in principle?
- in practice?

"Intrinsic" Energy Resolution for <u>Ionization</u> at ¹³⁶Xe Q-value

Q-value (136 Xe \rightarrow 136 Ba) = 2480 KeV

- W = Δ E per ion/electron pair in xenon gas = 21.9 eV, but
- W depends on Electric field strength, might be ~24.8 eV
- N = number of ion pairs = Q/W
- $N = 2480 \times 10^3 \text{ eV}/24.8 \text{ eV} = \sim 100,000 \text{ electron/ion pairs}$
- $\sigma_N = (FN)^{1/2}$ F is the Fano factor constraint on fluctuations
- F = 0.13 0.17 measured for xenon gas; take F = 0.15
- $\sigma_N = (FN)^{1/2}$ ~123 electrons rms @ 2480 keV

This is a rather small number!
"Intrinsic" Energy Resolution for <u>Ionization</u> at ¹³⁶Xe Q-value

 $\frac{\delta E/E}{Answer to question #1:}$

<u>δE/E ~2.8 x 10</u>-3 FWHM @ 2480 keV* (xenon gas - <u>ionization</u> intrinsic fluctuations only)

*This ideal result is ~ same as that achieved with germanium diodes, in practice.

Energy Resolution Factors in Xenon Gas Detectors

– Intrinsic fluctuations

- Fano factor (partition of energy): small for ρ < 0.55 g/cm³
- Loss of signal (primary):
 - Recombination, quenching by molecular additives (heat)
- Loss of signal (secondary):
 - Capture by grids or electronegative impurities
- Gain process fluctuations:
 - Avalanche charge gain fluctuations are large
- Gain process stability:
 - Positive ion effects, density and mix sensitivity,...
- Long tracks \Rightarrow extended signals
 - Baseline shifts, electronic non-linearities, wall effect,...

Generalization

• If fluctuations are uncorrelated, then* $\sigma_N = ((F + L + G)N)^{1/2}$ F = Fano factor = 0.15 L = loss of primary ionization (set to 0)G = fluctuations & noise in gain process

Goal: Keep G no larger than F = 0.15 Is this possible ??

^{*}D. Nygren, *Nucl. Inst. & Meth.* **A 581** (2007) 632

Avalanche Charge Gain

One electron liberates others, which liberate...
 Early ionization history determines gain

- for wire (E ~1/r) 0.6 < G < 0.9 *
- $\sigma_N = ((0.15 + 0.8)N)^{1/2} = 328$
- δ**E/E = ~7.0 x 10**-3 FWHM

Lost the benefit from a small Fano factor

*Alkhazov G D Nucl. Inst. & Meth. 89 (1970) 155 (for cylindrical proportional counters)

What is this factor "G"?

- In a very real sense:
 G is a measure of the precision with which a single electron can be counted.
- Consider next:
 - Ionization Imaging TPC no gas gain!
 - Negative Ion TPC count each electron!
 - Electro-Luminescent TPC ?

"Ionization Imaging" TPC

No avalanche gain

- $dn/dx \sim 1$ fC/cm: $\Rightarrow \sim 6,000$ (electron/ion pairs)/cm
- gridless "naked" pixel plane (~5 mm pads)
- very high operational stability
- But, <u>electronic</u> <u>noise</u> must be added!
 - $\sigma = 50 \text{ e}^- \text{ rms/pixel}$
 - $G = \sigma^2/n_e = 50^2/3000 = -0.8$
 - δE/E ~ **7 x 10⁻³ FWHM**
 - But: complex signals, many channels, ultra-low noise, waveform capture ⇒ much R&D needed

"Negative Ion" TPC

<u>"Counting mode"</u> = digital readout, (F + L)

- Electron capture on electronegative molecule
- Very slow drift to readout plane;
- Strip electron in high field (?), generate avalanche
- Count each "ion" as a separate pulse...

Maybe,... $\delta E/E = ~3 \times 10^{-3} FWHM$ Appealing, but will it work in HPXe?... Much R&D needed

Electro-Luminescence (EL) (Gas Proportional Scintillation)

- Electrons drift from low to high electric field region
- Electrons gain energy, excite xenon, lose energy
- Xenon generates UV
- Electron starts over, gaining energy again
- Linear growth of signal with voltage
- Photon generation up to ~1000/e, but no ionization
- Early history irrelevant, so \Rightarrow fluctuations small
- Maybe... G ~ F?



Fig. 1. Sketch of 5 cm diameter parallel plate gas scintillation proportional counter.



Fig. 2. Pulse-height spectra of an ⁵⁵Fe source from a parallel plate gas scintillation proportional counter.

Fluctuations in EL

G for EL contains three terms:

- 1. Fluctuations in n_{uv} (UV photons per e): $\sigma_{uv} = KI \sqrt{n_{uv}}$
 - $n_{uv} \sim HV/E_{\gamma} = 6600/10 \text{ eV} \sim 660$ K < 1
- 2. Fluctuations in n_{pe} (detected photons/e): $\sigma_{pe} = 1/\sqrt{n_{pe}}$
 - $n_{pe} \sim \text{solid angle x QE x WLS x } n_{uv} = 0.1 \times 0.25 \times 0.5 \times 660 \sim 8$
- 3. Fluctuations in PMT single PE response: $\sigma_{pmt} \sim 0.6$

$$G = \sigma^2 = 1/(660) + (1 + \sigma^2_{pmt})/8) \sim 0.17$$

The more photo-electrons, the better!

EL provides precision electron counting

Assume F = G = 0.15

Ideal energy resolution ($\sigma^2 = 0.3 \times E/W$):

 δ E/E ~4 x 10⁻³ FWHM @ 2480keV This is promising!

Electro-Luminescent Readout

• To keep G < F = 0.15, then: $n_{pe} > 10/electron$

 $\Rightarrow \Sigma n_{pe} > 1,000,000 @ 2480 \text{ keV} !$

Electro-Luminescent Readout

How to detect this much signal?

Answer: Use <u>both</u> TPC readout planes

- If EL signal is generated in plane "A"
- do "tracking" in Plane "A"
- but: record "energy" in plane "B"

Electron drift in xenon gas

- It is very slow: $\sim 1 \text{ mm/}\mu\text{s}$
- This spreads out the arriving signal in time up to 100 μs for many $\beta\beta$ events
- The signal is spread out over the entire readout plane "B", many 100's of PMTs
- These two factors greatly reduce the dynamic range needed for readout of the signals
- \Rightarrow No problem to read out 5 kev to 5000 keV

EL: How much light?

- Boundary condition: $n_{pe}/electron \ge 10$
- Let photon detection efficiency = η η = solid angle x transparency x QE_{PMT} Assume <u>reflective</u> TPC field cages $\eta = \pi/(4 \times 4\pi) \times 2 \times 0.9 \times 0.3 = 0.03$
- $n_{pe}/electron = 10 \sim N_{photons} \times \eta$ $\Rightarrow N_{photons} \ge 300/electron$ Can this be done?

Generation of EL in xenon

dN/dx = 140(E/p - 0.83)p UV photons/cm

- E/p = 8 kV/cm-bar is maximum for EL only
- E/p = 0.83 kV/cm-bar is minimum for EL

Wire plane is ideal, and no charge gain!

$$- N_{photons} = 1540 p r_0 \equiv 300$$

 $- p r_0 ≥ 0.2 \text{ (bar-cm) set } p = 20 \text{ bars, } r_0 = 0.15 \text{ mm,}$ then: $n_{pe} = 15$, G = 0.08

$\delta E/E = 3.4 \times 10^{-3} FWHM @ 2480 keV$

Answer to Question #2

- Best <u>practical</u> energy resolution: <u>TPC with EL MWPC readout planes</u>
 - separated function: tracking (A) \leftrightarrow energy (B)
 - planes A & B symmetric and equivalent

$\delta E/E = 3.4 \times 10^{-3} FWHM @ 2480 keV$

Maybe a HPXe TPC can offer both excellent particle tracking and energy resolution!

1000 kg Xe: \emptyset = 225 cm, 2 x L =225 cm ρ ~ 0.1 g/cm³ (~20 bars)

- A. Sensitive volume
- B. HV cathode plane
- C. EL MWPC readout planes, with photomultiplier arrays
- D. Flange for services
- E. Filler and neutron absorber, polyethylene, or liquid scintillator, or ...
- F. Field cages and HV insulator, (rings are exaggerated here)

Perspective

By realizing that the key idea is <u>counting</u> electrons, we arrive at a new, attractive detector concept:

<u>Near-intrinsic</u> energy resolution in HP-Xe EL TPC
 <u>Ionization signal alone</u> is sufficient to achieve this
 WIMP + ββ search: **no compromises!** Dynamic range OK: keV - MeV energy range
 Ionization & scintillation both recorded by PMTs
 Scintillation UV for S₁ & t₀ automatically available

Outlook

- It may be possible to do two sensitive, (costly & high risk) experiments simultaneously
- It remains to be determined whether this notion will provide <u>all</u> needed performance
- It remains also to be seen if this concept will be built, but for success, a good acronym...

Electro-Luminescence: Great Rewards Await NEXT Double-β Experiment

Electro-Luminescence: Great Rewards Await NEXT Double-β Experiment

Thank you for your bravery and patience

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Germanium Diodes

Fano factor: similar to xenon gas: ~0.13 ± 0.02 Energy per electron-ion pair: 2.96 eV More carriers \Rightarrow Ge diodes better by $(22/3)^{1/2} = 2.7?$ δ E/E ~1 x 10⁻³ FWHM @ 2480 keV, germanium, ideal δ E/E ~2.4 x 10⁻³ FWHM @ 2480 keV germanium, real

Why aren't Ge diodes as good as Ge (ideal)? Factors: electronic noise, edge effects, trapping, complex interactions: Compton, photo-conversion...

∆E: Three Pathways

- When a particle loses energy in xenon, where does the energy go?
 - Ionization
 - Scintillation: VUV ~170 nm (τ_1, τ_2 ...)
 - Heat!
- How is the energy partitioned?
 - Responses differ for α , β , nuclei
 - Dependence on xenon density ρ , E-field
 - Processes still not completely understood

"Effective Fano Factor" for LXe

Conti *et al: "*F" ~ 20 to match their LXe data Compare: LXe/HPXe Fano factors: ("20"/0.15)^{1/2} = 11.5

δ E/E = 2.35 x (FW/Q)^{1/2} \Rightarrow 31 x 10⁻³ FW HM

Anti-correlation (use it!):

Using **both** the scintillation and ionization signals together allows recovery of the total signal (except for heat).

But: in practice, only a fraction of the light can be detected; the energy resolution in LXe cannot be as good as intrinsic.

The impact of energy lost to heat on resolution is unknown.

Molecular physics of xenon

• Macroscopic:

- Critical temperature of xenon: room temperature
- Gas & liquid phases can coexist together at normal temp
- Strong departures from ideal gas law: high compressibility

• Microscopic:

- For densities above ~0.5 g/cm³, **fog** or lacework forms
- Aggregates form a localized quasi-conduction band
- Ionization process \Rightarrow very non-uniform **dE/dx**
- Recombination is ~ complete in the regions of high q/v
- Recombination increases scintillation, reduces ionization

\Rightarrow A non-gaussian partition of energy between ionization & scintillation occurs for ρ >0.5 g/cm³

"Gotthard TPC"

Pioneer TPC detector for 0-v $\beta\beta$ decay search

- 5 bars, enriched ¹³⁶Xe (3.3 kg) + 4% CH₄
- MWPC readout plane, wires ganged for energy
- No scintillation detection \Rightarrow
 - no TPC start signal!
 - No measurement of drift distance!
- $\delta E/E \sim 80 \times 10^{-3} FWHM$ (1592 keV)

 \Rightarrow 66 x 10⁻³ FWHM (2480 keV)

Reasons for this less-than-optimum resolution are not clear...

Likely: uncorrectable losses to electronegative impurities

Possible: Undetectable losses to **quenching** (4% CH₄)

$\underline{\alpha}$ particles

(~25 bars)

K. N. Pushkin *et al,* 2004 IEEE Nuclear Science Symposium proceedings

A scary result: adding a tiny amount of simple molecules (CH₄, N₂, H₂) to HPXe quenches both ionization **and** scintillation for α 's

 α particle: dE/dx is very high Gotthard TPC: 4% CH₄ Loss(α): factor of 6

For β particles, what was effect on energy resolution?

Surely small but not known, and needs investigation

Molecular Chemistry of Xenon

• Scintillation:

- Excimer formation: $Xe^* + Xe \rightarrow Xe_2^* \rightarrow hv + Xe$
- Recombination: Xe⁺ + e⁻ \rightarrow Xe^{*} \rightarrow
- Density-dependent processes also exist:

$$Xe^* + Xe^* \rightarrow Xe^{**} \rightarrow Xe^+ + e^- + heat$$

- Two excimers are consumed to make one photon!
- More likely for both high ρ + high ionization density
- Quenching of both ionization and scintillation can occur! $Xe^* + M \rightarrow Xe + M^* \rightarrow Xe + M + heat (similarly for Xe_2^*, Xe^{**}, Xe_2^{*+}...)$ $Xe^+ + e^-(hot) + M \rightarrow Xe^+ + e^-(cold) + M^* \rightarrow$ $Xe^+ + e^-(cold) + M + heat \rightarrow e^-(cold) + Xe^+ \rightarrow Xe^*$

Barium daughter tagging and ion mobilities...

- Ba⁺ and Xe⁺ mobilities are quite different!
 - The cause is **resonant charge exchange**
 - RCE is macroscopic quantum mechanics
 - occurs only for ions in their parent gases
 - no energy barrier exists for Xe⁺ in xenon
 - energy barrier exists for Ba ions in xenon
 - RCE is a long-range process: R >> r_{atom}
 - glancing collisions = back-scatter

RCE increases viscosity of majority ions

Barium daughter tagging and ion mobilities...

- Ba⁺⁺ ion survives drift: IP = 10.05 eV
 - IP of xenon is 12.14 eV
- Ba⁺⁺ ion arrives at HV plane, well ahead of all other Xe⁺ ions
 - Mobility difference, ~50%, is known to be true at low density
- Ba⁺⁺ ion liberates at least one electron at cathode surface
 - May be an unrealistic fantasy
- Electrons drift back to anode plane, make detectable signal
 - Arriving electron signal serves as "echo" of the Ba++ ion,
- A very strong constraint on event validity is obtained:
 - Process is automatic!
- Clustering effects are likely to alter this picture!

A small test chamber can show whether ion mobility differences persist at higher gas density (no data now).

This could offer an automatic method to tag the "birth" of barium in the decay, by sensing an echo pulse if the barium ion causes a secondary emission of one or more electrons at the cathode.